

APPLICANT REQUIREMENTS LIQUOR LICENCE CLASS C – CLUB

To be considered for a liquor licence, the applicant must provide the following preliminary requirements before the application can proceed. Non-refundable application fee of \$200 (cash, cheque or money order made payable to AGLC). Application for Liquor Licence (Form 5300). Particulars of Individual (Form 5013) completed by the proposed manager. A copy of the Certificate of Incorporation. A copy of the membership list (must be at least 50). Floor plan of the premises. Additional details about acceptable floor plans are provided on the back of this guideline. Proposed food menu with prices. A draft copy is acceptable. Liquor menu showing prices and ounces. An AGLC Inspector will contact the applicant to review the application and conduct an initial inspection if necessary. If the application meets preliminary requirements, it will be posted on AGLC's web site at aglc.ca for 7 days. During this period, objections to the application may be submitted to AGLC's Board. The application may proceed if there are no objections. The following items are required to finalize the application. Licence fee of \$200 per licence certificate. Licence fees are in addition to the \$200 application fee. Acknowledgement and Undertaking regarding liquor manufacturer/supplier inducements (Form 5203). Executed copy of the lease agreement or certificate of title, in the name of the applicant. City business licence or written approval of the municipality. Fire approval. Approval of the responsible health authority.

The applicant may mail or deliver the completed package to the nearest AGLC office **OR** phone to schedule an appointment if you wish to meet with AGLC staff to review the application package.

Once <u>all</u> documents and fees have been submitted and approved, an AGLC Inspector will initiate contact with the applicant to make arrangements to conduct a final inspection.

A LIQUOR LICENCE WILL NOT BE ISSUED UNTIL ALL OF THE ABOVE STEPS HAVE BEEN COMPLETED

Head Office Calgary Office Red Deer Office Lethbridge Office Grande Prairie Office 50 Corriveau Avenue 310, 6715 - 8 Street NE 3-7965 - 49 Avenue 655 Wt Hill Blvd South 100-11039 78 Avenue St. Albert, Alberta Calgary, Alberta Red Deer, Alberta Lethbridge, Alberta Grande Prairie, Alberta T8N 3T5 T4P 2V5 T111Y6 T8W 217 liquorapplications@aglc.ca liquorapplications.calgary@aglc.ca liquorapplications.rd@aglc.ca liquorapplications.leth@aglc.ca liquorapplications.gp@aglc.ca Ph: 403-314-2656 Ph: 403-331-6500 Ph: 780-832-3000 1-855-506-1066 ext. 2 (toll-free) Ph: 403-292-7300

PUBLIC FORM RS/LIC 5255 (2020 Dec)

Floor Plan Requirements

Floor plans may be blueprints, architectural drawings, or a line drawing.

If the applicant is providing a line drawing, the floor plan must be of high quality and clearly legible. The drawing may include measurements.

In order to determine if the premises meets physical requirements for licensing, drawings must include details of physical layout, including all:

- entrances and exits
- washrooms
- kitchen
- liquor service area, service bar(s)
- dance floor
- dining areas
- seating
- games entertainment area, pool tables etc. where applicable

PUBLIC FORM RS/LIC 5255 (2020 Dec)



APPLICANT REQUIREMENTS LIQUOR LICENCE (INSTITUTION) CLASS C

To be considered for a liquor licence, the applicant **must** provide the following preliminary requirements before the application can proceed.

Non-refundable application fee of \$200 (cash, cheque or money order made payable to AGLC). If for a Seniors' Residence.	lot required
Application for Liquor Licence (Form 5300).	
Criminal Record Check (obtained within the last 90 days) from the RCMP or local police for all requested directors and shareholders of the applicant company and the proposed manager.	
 Particulars of Individual (Form 5013) completed by all directors and shareholders and the propo manager. 	sed
Floor plan of the premises. Additional details about acceptable floor plans are provided below.	
Proposed food menu with prices. A draft copy is acceptable.	
Liquor menu showing prices and ounces.	
An AGLC Inspector will contact the applicant to review the application and conduct an initial inspection if ne	cessary.
f the application meets preliminary requirements, it will be posted on AGLC's web site at aglc.ca for 7 days. period, objections to the application may be submitted to AGLC's Board.	During this
he application may proceed if there are no objections. The following items are required to finalize the appl	ication.
Licence fee of \$200 per licence certificate, licence fee of \$25 for Seniors Residences, licence fees addition to the \$200 application fee.	are in
Particulars of Incorporation of Company (Form 5231) if applicable.	
Particulars of Shareholding Company (Form 5231) if applicable.	
Certificate of Incorporation.	
Acknowledgement and Undertaking regarding liquor manufacturer/supplier inducements (Form	5203).
Executed copy of the lease agreement or certificate of title, in the name of the applicant.	
City business licence or written approval of the municipality.	
Fire approval.	
Approval of the responsible health authority.	
The applicant may mail or deliver the completed package to the pearest ACLC office OP phone to schedule s	n

The applicant may mail or deliver the completed package to the nearest AGLC office **OR** phone to schedule an appointment if you wish to meet with AGLC staff to review the application package.

Once <u>all</u> documents and fees have been submitted and approved, an AGLC Inspector will initiate contact with the applicant to make arrangements to conduct a final inspection.

A LIQUOR LICENCE WILL NOT BE ISSUED UNTIL ALL STEPS HAVE BEEN COMPLETED AND ALL INFORMATION IS PROVIDED

Head Office Calgary Office Red Deer Office Lethbridge Office Grande Prairie Office 50 Corriveau Avenue 310, 6715 - 8 Street NE 3-7965 - 49 Avenue 655 Wt Hill Blvd South 100-11039 78 Avenue St. Albert, Alberta Calgary, Alberta Red Deer, Alberta Lethbridge, Alberta Grande Prairie, Alberta **T8N 3T5** T2E 7H7 T4P 2V5 T1J 1Y6 T8W 2J7 liquorapplications@aglc.ca liquorapplications.calgary@aglc.ca liquorapplications.rd@aglc.ca liquorapplications.leth@aglc.ca liquorapplications.gp@aglc.ca 1-855-506-1066 ext. 2 (toll-free) Ph: 403-292-7300 Ph: 403-314-2656 Ph: 403-331-6500 Ph: 780-832-3000

PUBLIC FORM RS/LIC 5297 (2021 Jan)

Floor Plan Requirements

Floor plans may be blueprints, architectural drawings, or a line drawing.

If the applicant is providing a line drawing, the floor plan must be of high quality and clearly legible. The drawing may include measurements.

In order to determine if the premises meets physical requirements for licensing, drawings must include details of physical layout, including all:

- entrances and exits
- washrooms
- kitchen equipment
- liquor service area, service bar(s)
- dance floor (if applicable)
- dining areas
- seating
- games entertainment area, pool tables etc. where applicable

If the plans are not clear and detailed as described, the application may be subject to delay or not processed at all.

PUBLIC FORM RS/LIC 5297 (2021 Jan)



APPLICATION FOR LIQUOR LICENCE CLASS C

NAME OF APPLICANT: (Company, Partnership or Individual)	
NAME OF PREMISES: (Operating/Trade Name)	
STREET ADDRESS:	
CITY/TOWN:	POSTAL CODE:
MAILING ADDRESS:	
CITY/TOWN:	POSTAL CODE:
PREMISES PHONE:	
ADDUCATION CONTACT NAME	CONTACT DUONS
APPLICATION CONTACT NAME:	CONTACT PHONE:
PREMISES MANAGER NAME:	CONTACT PHONE:
mailing address will be used. COMMUNICATION CONTACT EMAIL:	
	OPERATE IN ACCORDANCE WITH THE PROVISIONS OF THE <i>GAMING, LIQUOR AND</i> NABIS REGULATION; AND ALL CONDITIONS PRESCRIBED BY THE BOARD OF AGLC. ECK APPROPRIATE BOXES)
Club	☐ Canteen ☐ Travelers' Lounge
Institution - Post Secondary Educational	Institution – Senior Citizens' Residential/Hospital/Nursing Home
BY SIGNATURE I CERTIFY THE ABOVE INFORMA	ATION IS CORRECT.
DATE:	×
	Signature of Applicant (Individual) or Applicant's Representative (Corporation/Partnership)

In the event that the licence application process is not completed within one year of the submission date, AGLC may require that a new application be made.

Protection of Privacy – The personal information requested on this form is collected under the authority of Section 33(c) of the *Alberta Freedom* of *Information and Protection of Privacy Act* and will be protected under Part 2 of that Act. It will be used for the administration of all policies and processes relating to liquor licensing. Direct any questions about this collection to: AGLC FOIP Coordinator, 50 Corriveau Avenue, St. Albert, AB T8N 3T5 780-447-8600 or toll free at 1-800-272-8876.

PROTECTED A WHEN COMPLETED FORM RS/LIC 5300 (2020 Oct)



ACKNOWLEDGEMENT AND UNDERTAKING

TO: ALBERTA GAMING, LIQUOR AND CANNABIS (AGLC)

- 1. The licensee named below (hereinafter referred to as the "licensee") acknowledges its legal obligations under:
 - a) the Gaming, Liquor and Cannabis Act (GLCA), specifically (but not limited to) Sections 66(2), and 67(2);
 - b) the Gaming, Liquor and Cannabis Regulation (GLCR), specifically (but not limited to) Sections 80-85; and
 - c) the Licensee Handbook, and where applicable, Retail Liquor Store Handbook and General Merchandise Liquor Store Operating Guidelines.
- 2. The licensee acknowledges responsibility for ensuring that all staff employed by the licensee are aware of the requirements contained in this Acknowledgement and Undertaking and Appendices A and B.
- 3. Only liquor authorized by AGLC may be present in a licensed premises. A licensee who sells or possesses in his licensed premises liquor NOT authorized by AGLC will face disciplinary action and may also face prosecution.
- 4. The licensee acknowledges that all business conducted under a Class D Retail Liquor Store licence will be operated separately from any commonly owned or affiliated businesses as outlined in Section 3.1.1 of the Retail Liquor Store Handbook.
- 5. The licensee acknowledges that it is contrary to the GLCA, GLCR, and AGLC policy guidelines for a licensee or licensee staff to receive inducements ("benefits") from a liquor supplier or liquor agency, to carry the liquor supplier or liquor agency's product, give product exclusivity to the liquor supplier or liquor agency, or give preferential shelf position (in the case of Class D licensees).
 - Inducements include: money, free liquor, volume discounts, paid vacations, furniture, equipment, services (such as painting or decorating), items considered essential to the licensee's operation, staff incentives, paid entertainment, paid advertising, or any other thing prohibited under the GLCA, GLCR, or AGLC policy.
- 6. The licensee acknowledges that failure to follow the requirements of the GLCA, GLCR, and AGLC policy (includes handbooks and operating guidelines) may result in prosecution under the GLCA or other legislation, or appearance before the Board of AGLC.
- 7. This Acknowledgement and Undertaking is being made to AGLC to ensure that the requirements of the GLCA, the GLCR, and AGLC policy governing prohibited relationships between liquor suppliers or liquor agencies and licensees are fully supported by both retailers and the hospitality industry.
- 8. The licensee undertakes to advise AGLC each time a liquor supplier or liquor agency offers an inducement to the licensee, or to an employee of the licensee.

Licensee Signature
(Please Print Name)
Name of Licensed Premises
Street Address
Location (City, Town, Village)

Public FORM RS/LIC 5203-1 (2022 Aug)



APPENDIX A LISTING OF PROHIBITED INDUCEMENTS

The following describes monetary (or monetary value) inducements which liquor agencies or employees of liquor agencies, are prohibited from providing to licensees.

- 1. Payments, rebates or credits of any monetary value to licensees.
- 2. Deposits into licensee accounts in any direct or indirect manner.
- 3. Any liquor products other than nominal liquor product samples permitted under policy guidelines, "Product Promotions in Licensed Premises".
- 4. Interior decorating (e.g. painting, draperies, carpeting) and renovations or maintenance to the licensed premises or other property owned, rented, or leased by a licensee or anyone directly or indirectly involved with the licensee in a business relationship.
- 5. Furniture, equipment, signs, fixtures, or decorations normally required in the operation of a licensed premises.
- 6. All forms of entertainment (musical and other) that would normally be paid for by the licensee.
- 7. Refrigeration or dispensing equipment.
- 8. Underwriting licensees' expenses, either directly or indirectly, for any travel, whether or not it is for business purposes, a vacation, or a combination of both.
- 9. Seasons tickets to sporting or other events.
- 10. Full menu printing.

This is a summary only. A relationship between a liquor agency and licensee, or any product promotion, must comply with the GLCA, GLCR, or policy guidelines.

Any product promotion not specifically permitted is prohibited. For further information, contact the Regulatory Services Division, AGLC:

St. Albert Office	780-447-8600	Lathbridge Office	402 221 6500
Calgary Office	403-292-7300	Lethbridge Office Red Deer Office	403-331-6500 403-314-2656
Grande Prairie Office	780-832-3000	Red Deel Office	405-514-2050

References: Gaming, Liquor and Cannabis Act, Sections 62, 66 and 67

Gaming, Liquor and Cannabis Regulation, Sections 72-74, 80-85 and 87

Please retain Appendix A and Appendix B for your records.

Public FORM RS/LIC 5203-2, 5228-2 (2022 Aug)



APPENDIX B PRODUCT PROMOTIONS OR SERVICES

The following are allowable product promotions or services which liquor agencies may provide to licensees. These are permitted under the *Gaming, Liquor and Cannabis Act* (GLCA), Gaming, Liquor and Cannabis Regulation (GLCR) or the policy guidelines titled "Product Promotions".

- 1. Liquor product samples as allowed under policy guidelines, "Product Promotions".
- 2. Non-essential merchandise which includes product brand or corporate name.

Examples:

Aprons for staff Flags, pennants, banners Quick pourers (speed spouts)

Ashtrays Hats for staff Serviettes/napkins
Bar towels Inflatables Serving trays

Bottle openers Lapel pins Signs (not identifying the licensee)
Change trays/tab trays Menu clips Special schedules on display for patrons

Champagne corkersMirrors/clocksT-shirtsCoastersPatio umbrellasTent cardsCorkscrewsPlace matsSweatshirtsDraught tap handlesPlastic glassesSwizzle sticks

Drip mats Posters

3. Other non-essential items sold to licensee at or above wholesale cost.

This is a summary only. A relationship between a liquor agency and licensee, or any product promotion, must comply with the GLCA, GLCR, or policy guidelines.

Any product promotion not specifically permitted is prohibited. For further information, contact the Regulatory Services Division, AGLC:

References: Gaming, Liquor and Cannabis Act, Sections 62, 66 and 67

Gaming, Liquor and Cannabis Regulation, Sections 72-74, 80-85 and 87

Public FORM RS/LIC 5203-3, 5228-3 (2022 Aug)



PARTICULARS OF INDIVIDUAL

NAME			
-	Surname	First Name	Middle Name(s) (in full)
MAIDEN NAME		OTHER NAMES _	
DATE OF BIRTH _	Year Month Day	CANADIAN CITIZEN LANDED IMMIGRANT	
PLACE OF BIRTH		CONTACT PHONE:	
EMAIL ADDRESS			
Please check (√)			
Licensee/Registra	nt Director/Officer	☐ Shareholder ☐ General Mana	ager Manager
NAME OF APPLICANT: (Company, Partnership NAME OF PREMISES/A (Operating/Trade Nam	GENCY:		
STREET ADDRESS			
		City	Postal Code
determine my eligibility In the event there is a f I have provided and/o	to be involved in liquor or canna inding of unsuitability with respension information identified during	(AGLC) to undertake a criminal record chibis licensed premises, liquor agency or regisect to my application, I authorize AGLC to shathe course of the background investigations well as any hearing panel of AGLC.	tered cannabis representative. are as necessary, the information
	Signature	Date	

A privacy statement for the collection of personal information may be found at $\underline{www.aglc.ca}.$

<u>UNAUTHORIZED / ILLEGAL LIQUOR ON LICENSED PREMISES</u>

If you have purchased a licensed premises from a previous licensee, it is your responsibility to ensure that the liquor stock purchased can legally remain on the licensed premises.

The following information is provided so that applicants and/or licensees are clearly aware of what is considered unauthorized or illegal liquor.

- **Homemade wine, beer or cider**. These homemade products <u>cannot</u> be brought into a licensed premises or served at any licensed function, even if they are intended for a private function (wedding, retirement, etc.).
- **Homemade spirits** (moonshine) are illegal to manufacture and therefore <u>cannot</u> be brought into a licensed premises or served at any licensed function, even if they are intended for a private function (wedding, retirement, etc.).
- Any liquor purchased directly from other provinces in Canada, the USA, or any foreign country.
- **Any liquor NOT** purchased directly from the AGLC, a manufacturer authorized by the AGLC to warehouse and distribute liquor, or an authorized licensee.

Note:

- Cooking liquor containing in excess of 20% alcohol by volume may be purchased <u>directly</u> from a
 manufacturer, supplier, or distributor. You <u>are required</u> to obtain a PRIVATE NON-SALE Special
 Event Licence from the AGLC. These cooking liquors <u>cannot be resold</u> or used for consumption
 other than in cooking.
- Cooking wines, stomach bitters and herbal beverages containing in excess of 20% alcohol by volume may <u>only</u> be <u>purchased</u> directly from the AGLC or an authorized licensee.

Licensees may wish to display unique liquor containers obtained from other countries. These containers may be displayed <u>only</u> when the container is unopened or the container is empty and labeled "Not for Sale" or "Collector Item".

It is important to understand that unauthorized or illegal liquor products found on licensed premises are subject to <u>immediate seizure</u>. The AGLC has a policy of "ZERO TOLERANCE" with respect to unauthorized or illegal liquor. A licensee may face a Board Hearing with a penalty which could include suspension or cancellation of the liquor licence.

If you have any questions or require further information, please contact the Regulatory Services Division in St. Albert at 780-447-8600, Grande Prairie at 780-832-3000, Calgary at 403-292-7300, Red Deer at 403-314-2656, or Lethbridge at 403-331-6500.



PARTICULARS OF INCORPORATION OF COMPANY

Name:				
Street Address:				
City/Town:				
			Pos	tal Code
- -				
-	hone			
ame of Corporation:				
Date	of Incorporation		Date of Registration in Albert	ta (if applicable)
ECTORS/OFFICERS:				
Name		Address	Phone Number	Position Held
_				
AREHOLDERS: (both votin	g and non-voting, and the	e number and class of share	es that each holds)	
Name	g and non-voting, and the	Address		& No. of Shares Hel
			004 (1)	
		Corporation, and by a Lawy		
day of		, 20		
	(Signature)		(Signature of Director or C	Officer)
L	AWYER or CPA		(Signature of Director of C	incer j
	(Firm)		(please print name)	

ANY CHANGE IN DIRECTORS OR SHAREHOLDERS MUST BE IMMEDIATELY REPORTED TO AGLC.

The information you are providing on this application form is collected under the authority of the *Gaming, Liquor and Cannabis Act;* Gaming, Liquor and Cannabis Regulation, and the *Freedom of Information and Protection of Privacy* (FOIP) *Act*, section 33(c). The information is strictly for the use of Alberta Gaming, Liquor and Cannabis in assessing your eligibility. Your personal information is protected by Alberta's FOIP Act and can be reviewed upon request. If you have any questions about the collection or use of the information, please contact Alberta Gaming, Liquor and Cannabis, 50 Corriveau Avenue, St. Albert, Alberta T8N 3T5 Telephone: 780-447-8600 Toll-free: 1-800-272-8876.

PROTECTED A WHEN COMPLETED FORM RS/LIC 5231 (2021 Aug)



Operating/Trade

PARTICULARS OF PARTNERSHIP

Name	:			
Street Address:	:			
City/Town:	:			
			Po	stal Code
Name of Registered	Telephone			
Partnership:				
	Date of Registrati	on Date	of Registration in Albe	rta (if applicable)
DIRECTORS:				
Name		Address	Phone Number	Position Held
PARTNERS: (both gene	ral and registered)			
Name		Address		Type of Partner
				_
CERTIFIED CORRECT by	an authorized dir	ector of the Corporation, and by a Lawyer or	CPA, as of the	
day of		, 20		
			(6) . (5)	1
	(Signature)		(Signature of Direct	or)
	(Signature) LAWYER or CPA		(Signature of Direct	or)
			(Signature of Direct	

ANY CHANGE IN DIRECTORS OR SHAREHOLDERS MUST BE IMMEDIATELY REPORTED TO AGLC.

The information you are providing on this application form is collected under the authority of the *Gaming, Liquor and Cannabis Act;* Gaming, Liquor and Cannabis Regulation, and the *Freedom of Information and Protection of Privacy* (FOIP) *Act*, section 33(c). The information is strictly for the use of Alberta Gaming, Liquor and Cannabis in assessing your eligibility. Your personal information is protected by Alberta's FOIP Act and can be reviewed upon request. If you have any questions about the collection or use of the information, please contact Alberta Gaming, Liquor and Cannabis, 50 Corriveau Avenue, St. Albert, Alberta T8N 3T5 Telephone: 780-447-8600 Toll-free: 1-800-272-8876.

PROTECTED A WHEN COMPLETED FORM RS/LIC 5292 (2021 Jun)